



Women as Early Career Researchers Workshop

Monday 6 June, 2016

UQ Business School, St Lucia Qld

The UQ Belles group in association with the FIRN-FEW group is pleased to invite you to participate in a one-day interactive workshop designed specifically for female ECR's. The workshop will focus on pitching research ideas, career development, networking and negotiation skills. Registration is free but RSVP's are essential as places are limited. To register please email the FIRN Executive Officer firm@business.uq.edu.au by XXXXXXXX

Draft Program (*subject to change)

09.30am	Registration and coffee
09.50am	Welcome and introductions
10:00am	Workshop 1: <i>Developing an elevator pitch</i> Facilitator: Kathy Walsh (<i>FIRN Deputy Director - ANU</i>)
11.00pm	Panel Topic: <i>Career Progression and Networking</i> Panellists: Ellie Chapple (<i>QUT</i>),
12.00noon	Lunch
01.00pm	Workshop 2: <i>Negotiating for Women</i> Tyler Okimoto (<i>UQ</i>)
3.00pm	High tea

FIRN



FIRN (Financial Research Network) is a formal network of Australia's major universities and data collection/research institute - SIRCA. FIRN member institutions come together as Australia's largest network of finance researchers in an atmosphere of collaborating and learning. FIRN members work together for the purpose of building stronger networks of collaboration and strengthen and building upon Australia's finance research successes. The Network is funded by contributions from member institutions and offers a program events and activities that supports and promotes higher education development and research development in Australia.

FIRN's mission is to enhance the quality and reputation of Australian finance research.



- FIRN acknowledges the under representation of female academics in finance and has committed significant resources to support its members in addressing this issue.
- The F.E.W. initiative (Financial Economic Women) is a portfolio aimed at networking support, skills development and providing a collective voice for academic women in finance. In 2016 the F.E.W. initiative will run a series of workshops around Australia focusing on career development, networking and negotiation skills.



Reference material

Workshop adapted from:

http://www.biotech.wisc.edu/sdwebcams?lecture=20130226_1130

"First Impressions: Telling Your Life Story in Two Minutes or Less", Tim Miller Seminar



Tips

1. This is hard
2. Why trumps what
3. Think big
4. Find your verb
5. Seek pull
6. Ask questions
7. Stop talking
8. Manage expectations
9. Practice



Activity

- Need some volunteers.....



Negotiating for Women

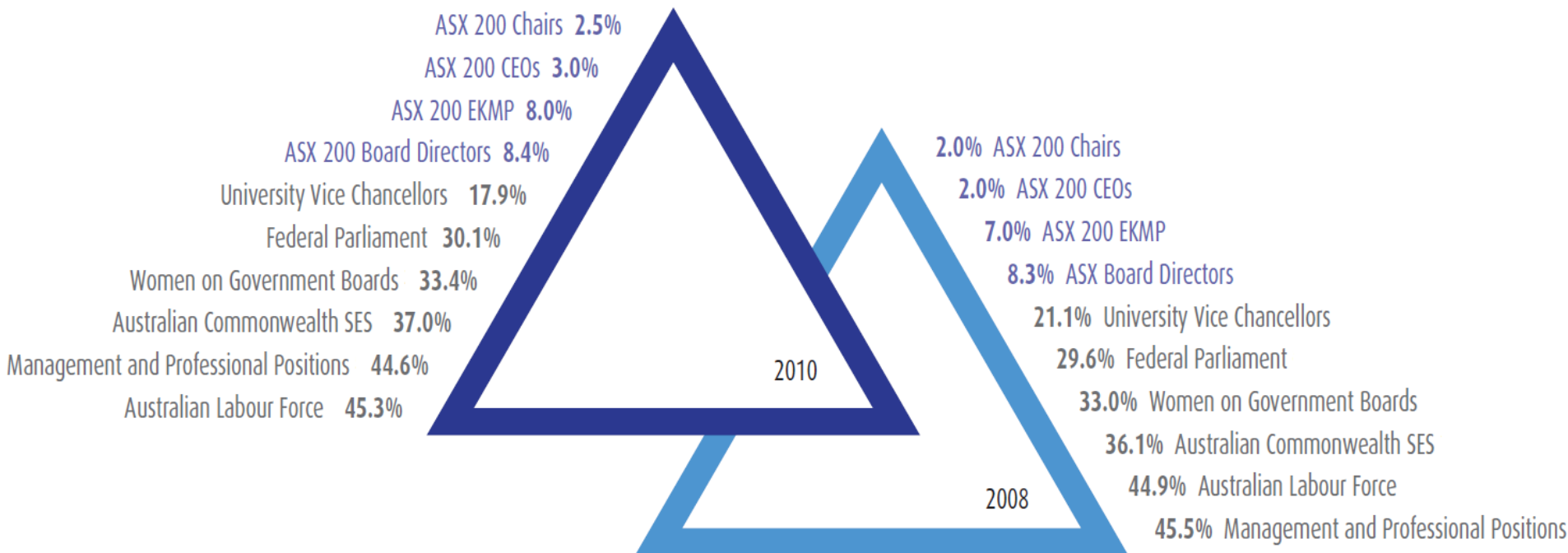
FIRN-FEW / UQBelles -- Career Development Workshop

Dr. Tyler G. Okimoto

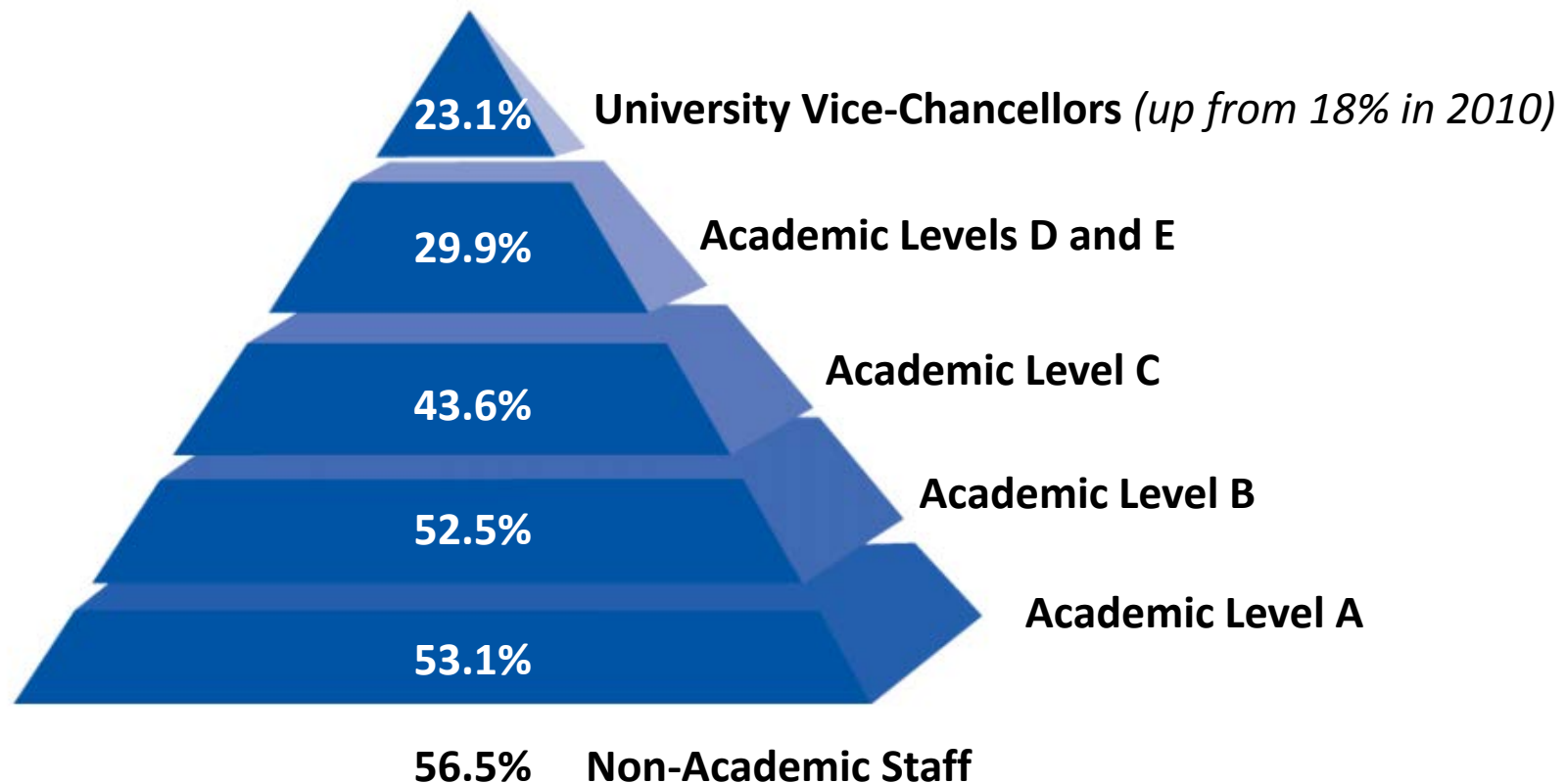
6 June 2016

Gender Leadership Gap in AU

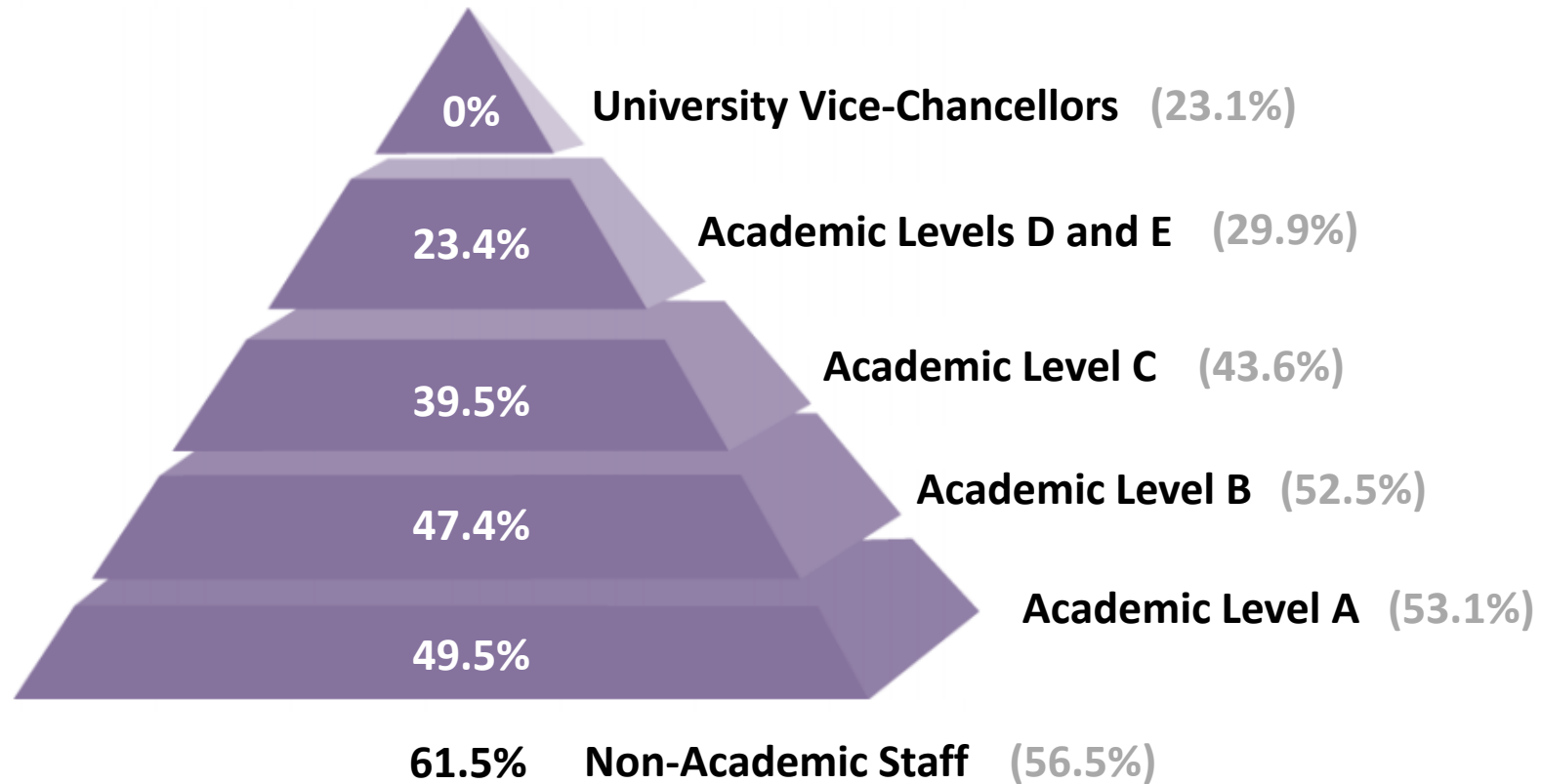
Figure 1 Census Pyramids: The status of women in the workplace 2008 and 2010



Gender Leadership Gap in AU Unis



Gender Leadership Gap at UQ



Gender Pay Gap in AU



National gender pay gap at record high of 18.8%



WORK



Gender pay gap

THEN: Women in the 1980s

17.8% The gender pay gap in full time adult ordinary time earnings was 17.8%¹ (Mar 1985)

33.8% The gender pay gap in all employees total earnings was 33.8% (Mar 1985)

NOW: Women today

18.8% The gender pay gap in full time adult ordinary time earnings was 18.8% (Nov 2014)²

35.4% The gender pay gap in all employees total earnings was 35.4% (Nov 2014)

Gender Pay Gap in AU Unis

- UWA Case Study¹
 - Pay Gap of 15% for academics
 - Women academics received \$8,744 less on average than men academics in research and travel allowances
- Large-scale survey of salary loadings² ($n > 8000$)
 - Responsibility Loading: M: 6.9%, 14,848 / F: 4.4%, 11,698
 - Performance Loading: M: 4.6%, 20,049 / F: 2.6%, 13,658
 - Market Loading: M: 4.9%, 20,526 / F: 2.4%, 14,259

1. Currie, J. & Hill, B. (2013). Gendered universities and the wage gap: Case study of a pay equity audit in an Australian university. *Higher Education Policy*, 26, 65-82.
2. Bailey, J., Peetz, D., Strachan, G., Whitehouse, G., & Broadbent, K. (in press). Academic pay loadings and gender in Australian universities. *Journal of Industrial Relations*. Published in advance online.

Core contributing factors:

- Occupational segregation
(controlled for in analysis)
- More career interruptions and shorter working hours
(controlled for in analysis)
- Discrimination in employment practices
- Women are less likely to ask compared to men

Have you ever been involved in a negotiation?

= a process by which two parties communicate with each other in order to reach an outcome on which they mutually agree.

You have all been involved in many negotiations.

Do you enjoy negotiating? Why or why not?

How do you feel when negotiating?

Does your gender play a role in negotiating?

- Women are 2.5 times more likely to feel “a great deal of apprehension” about negotiating than men
- Women initiate negotiations 4x less often than men
- Women are more pessimistic and ask for an average of 30% less than men
- ❖ Women who negotiate salary regularly earn more during their lifetime
- ❖ Not negotiating your first salary can result in > \$500 thousand in lost salary by the age of 60



Why?

■ Descriptive expectations

- Men are usually...
 - » assertive, bold, dominating, tough
- Women are usually...
 - » considerate, understanding, empathetic
- Compared to “leaders”...

➤ When considering worth to the organisation...

- Employers (both male and female) assign lower value to women compared to men
- Women assign lower value to their own contributions compared to men

Gendered Expectations

- Prescriptive expectations
 - Men should be...
 - » assertive, bold, dominating, tough
 - Women should be...
 - » considerate, understanding, empathetic
- Proscriptive expectations
 - Women should not be...
 - » assertive, bold, dominating, tough



Gendered Expectations

“Many voters see Senator Hillary Rodham Clinton as coldly ambitious, a perception that could ultimately doom her presidential campaign.”

– *Peter Nicholas (2007), Los Angeles Times*



- Politically savvy women recognise the potential “*backlash*” that comes from violating prescriptive and proscriptive social norms (Babcock & Bowles)
 - Triggers: self-promotion, power-seeking, assertiveness, tough negotiating
 - Reactions to Triggers: seen as manipulative, selfish, cold, bitchy, insensitive, “battle-ax”, “ice queen”, un-likeable...
→ *less likely to say “yes”*
 - Resulting Negotiation Behaviour: **women don’t ask**

What should be done about it?

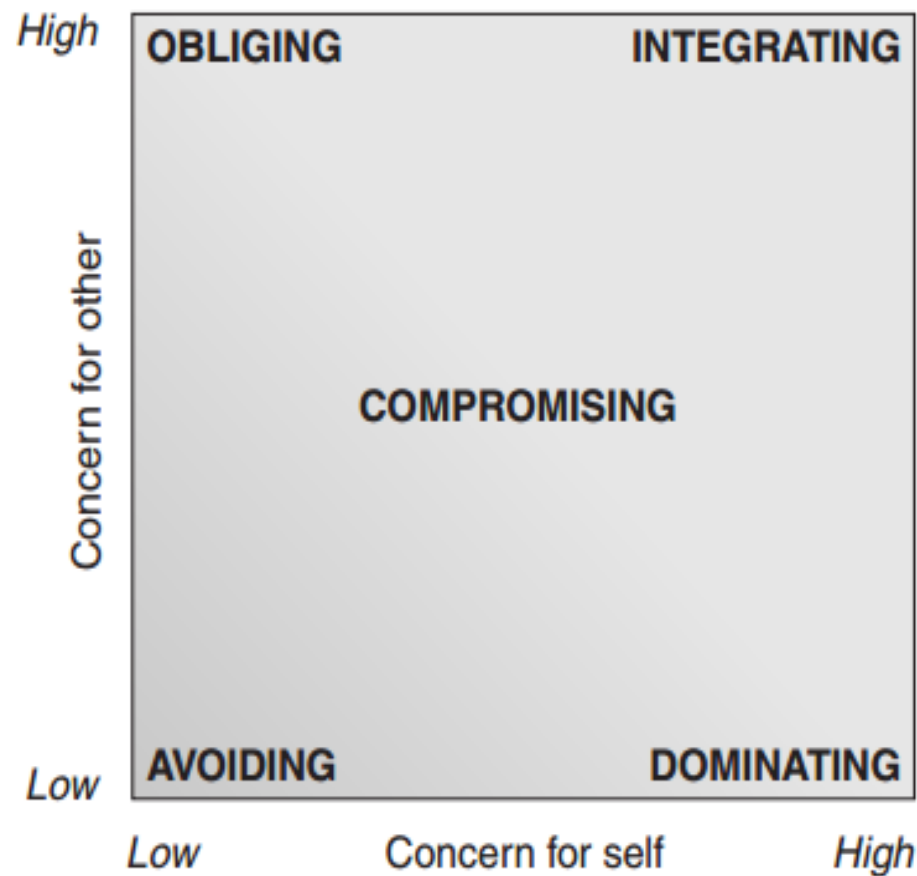
- Change in societal attitudes about gender roles
- Commitment by organisations to change org culture
- Commitment by organisations to support unbiased practices and processes
- Pressure (social and political) for gender equity
- Education

What can YOU do about it?

- Don't be afraid to ask
- Be a good negotiator (or conflict manager)
- Practice having difficult conversations
- Avoid appearing like a self-promoter
 - Frame arguments from the organisation's perspective (your worth)
 - Frame as fairness, not “deserves or desires”
 - Draw on comparable evidence
 - Use a mentor as justification
- Take a problem-solving approach
- Show concern for the other party's views, but without sacrificing yours



Negotiation Styles



New Recruit Run Sheet

- Two-party negotiation based on a salary decision
 - Recruiter - BLUE
 - Candidate - PINK
- **10 minutes of prep time** (use it wisely!)
- Pair up with someone in the other role who you do NOT know very well
- **20 minutes to negotiate a deal**
- Once you have a deal, complete the Final Contract
- ✓ Do not make up facts that are not on the info sheet, but you may use that info creatively.
- ✓ Do not reveal point values (they only represent your interests)
- ✓ **DO NOT** allow the other person to see your info sheet!

- Three types of issues in this quantified negotiation:

Distributive (zero-sum)

- Salary & Market Loading

Integrative (differently valued issues)

- Start-up Funds & Moving Expenses (high value for candidate)
- Probation Period & Service Role (high value for recruiter)

Compatible (same outcome for both parties)

- Starting Date & Teaching Load

<i>ISSUE</i>	<i>OPTIONS</i>	<i>RECRUITER POINTS</i>	<i>CANDIDATE POINTS</i>	
Start-up Research Funds	70K	0	4000	Integrative
	55K	400	3000	
	40K	800	2000	
	25K	1200	1000	
	10K	1600	0	
Teaching Load	50% FTE Teaching Load	0	0	Compatible
	45% FTE Teaching Load	-600	-600	
	40% FTE Teaching Load	-1200	-1200	
	35% FTE Teaching Load	-1800	-1800	
	30% FTE Teaching Load	-2400	-2400	
Probation Period	1 year	0	1600	Integrative
	2 years	1000	1200	
	3 years	2000	800	
	4 years	3000	400	
	5 years	4000	0	
Market Loading	20%	0	2400	Distributive
	15%	600	1800	
	10%	1200	1200	
	5%	1800	600	
	none	2400	0	
Moving Expense Coverage	100%	0	3200	Integrative
	90%	200	2400	
	80%	400	1600	
	70%	600	800	
	60%	800	0	
Service Role	ECR Committee	0	800	Integrative
	Research Committee	800	600	
	Teaching & Learning Committee	1600	400	
	Ethics Committee Chair	2400	200	
	Honours Program Coordinator	3200	0	
Salary Scale (Level B)	\$102,900	-6000	0	Distributive
	\$99,500	-4500	-1500	
	\$96,200	-3000	-3000	
	\$92,800	-1500	-4500	
	\$89,500	0	-6000	
Starting Date	Summer (Semester 3)	0	0	Compatible
	Mid-Semester 2 Break	300	300	
	Prior to Semester 2	600	600	
	Mid-Semester 1 Break	900	900	
	Prior to Semester 1	1200	1200	

- *Did you discover the compatible issues?*
 - *Why or why not?*

- *Did you discover the integrative issues and use them to maximise higher-value gains?*
 - *Avoid “leaving value on the table”*
 - Splitting everything down the middle = 4,400 joint outcome
 - Identifying compatibilities and trading-off with integrative issues = 13,200 joint outcome

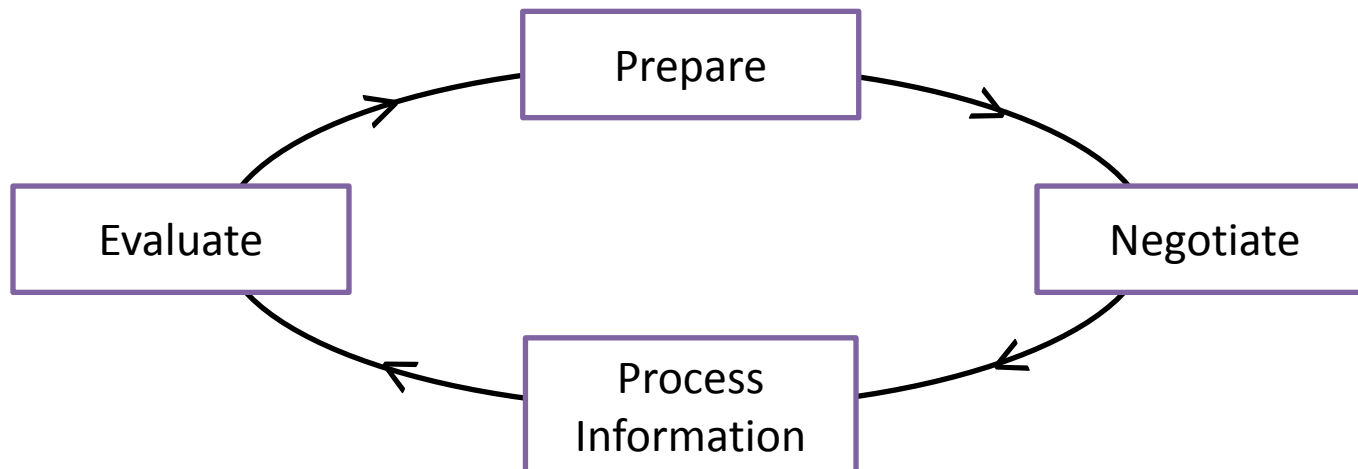
Creating Value

- Recognise your own interests (and their weights)
- Try to identify the other party's interests:
 - Ask about their priorities
 - Talk about your preferences and interests
 - Keep your assumptions in check
 - Listen actively:
 - Keep eyes on the speaker and look for body language
 - Don't get distracted; think only about what the speaker is saying
 - Resist the urge to formulate a response until after the speaker is finished
 - Ask questions to get more information
- Look for points of value creation (trade differences to create value)



Creating Value

- Take your time
- Approach the negotiation with a problem-solving orientation
 - Identify superordinate goals
 - Brainstorm other solutions
 - Be open to “outside the box” ideas
- Approach the negotiation as non-linear with multiple rounds

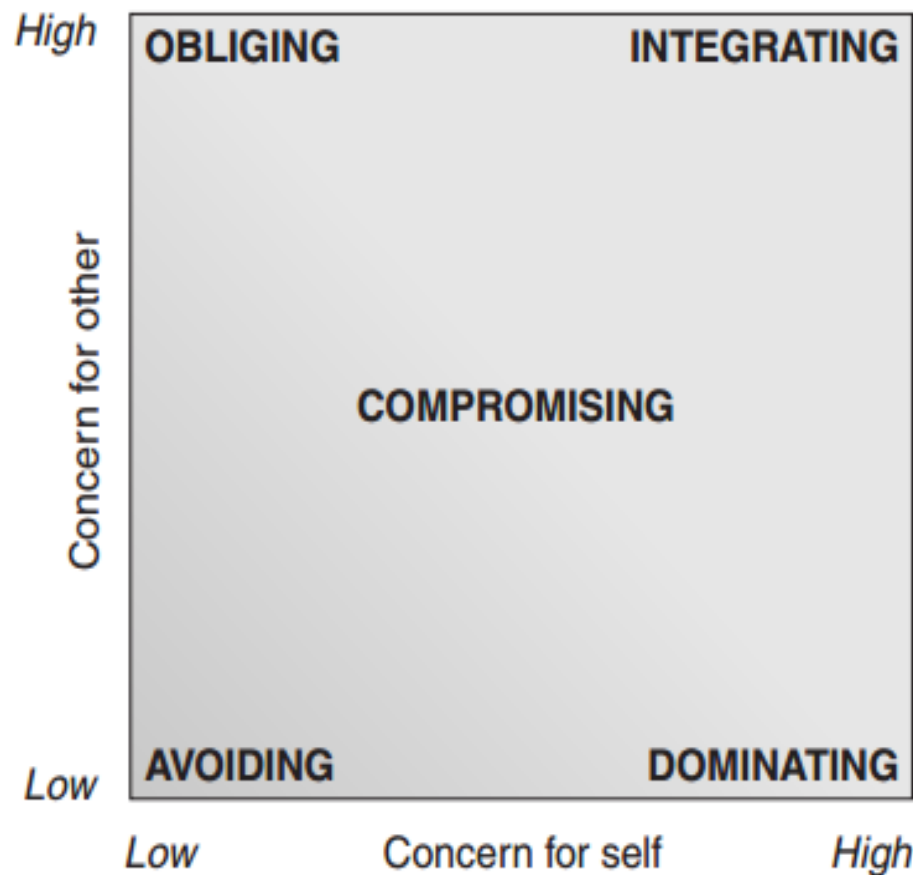


Did you come off like a self-promoter?

- Avoid appearing like a self-promoter
 - Frame arguments from the organisation's perspective (your worth)
 - Frame as fairness, not “deserves or desires”
 - Draw on comparable evidence
 - Use a mentor as justification
- Take a problem-solving approach
- Show concern for the other party's views, but without sacrificing yours



Balancing[^] Concern for Self v. Other



Further Barriers to Agreements

- Competitive negotiators
 - Anticipate their behaviours
 - Don't let their tactics undermine your strategy
 - Suggest alternative options; their questions may reveal info
 - Indicate your willingness to walk away
- Lack of trust
 - Explicitly emphasise the importance of integrity
 - Insist on enforcement mechanisms; get it in writing
- Poor communication
 - Take a break; reapproach the issue rationally and respectfully
 - Look for patterns; Problem issue? Mistaken assumptions?
 - Write things down for clarity
- Other people have the “real” authority

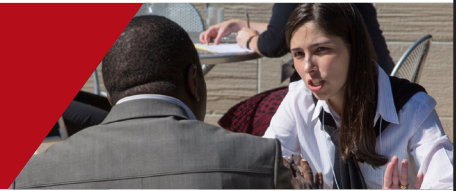
Further Reading

- Babcock, L. & Laschever, S. (2009). *Ask for it: How women can use the power of negotiation to get what they really want*. Princeton, NJ: Bantam Press.
- Bowles, H. R., Babcock, L. & Lai, L. (2007). Social incentives for gender differences in the propensity to initiate negotiations: Sometimes it does hurt to ask. *Organisational Behavior and Human Decision Processes*, 103, 84-103.
- Fisher, R., Ury, W., & Patton, B. (2011). *Getting to yes: Negotiating agreement without giving in*. Penguin Press.
- Malhotra, D. & Bazerman, M.H. (2007). Investigative negotiation. *Harvard Business Review*, September. Harvard Business School Press.



NEGOTIATION STRATEGIES FOR WOMEN

FREE REPORT



SECRETS TO SUCCESS



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JUNE 19, 2014

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1. Why Women Sometimes Ask for Less

THE AVERAGE COLLEGE-EDUCATED WOMAN EARNS \$713,000 LESS over the course of her working life than her male counterpart, according to the Coalition of Labor Union Women. What explains this persistent gender gap? Women employees' awareness that they could be penalized for negotiating assertively on their own behalf is one factor, according to new research from Emily T. Amanatullah of the University of Texas at Austin and Michael W. Morris of Columbia University.

The fear of a backlash

In their experiment, Amanatullah and Morris had male and female college students engage in a simulated job negotiation. The participants were told to negotiate either their own starting salary or a friend's starting salary through five rounds of offers and counteroffers.

Before negotiating, the women, but not the men, reported believing that they might be punished if they were perceived as too “pushy” or “demanding.” Further, this fear of backlash was unique to women negotiating their own salaries, as those negotiating for a friend did not anticipate social punishment for their behavior. Another negotiation study suggests that this fear held by women negotiating their own salaries is warranted: women and men alike penalized female job candidates who initiated salary negotiations, researchers Hannah Riley Bowles (Harvard University), Linda Babcock (Carnegie Mellon University), and Lei Lai (Tulane University) found.

A self-protective strategy

In Amanatullah and Morris's study, women who bargained on their own behalf opened with significantly lower counteroffers—about \$7,000 less—than women who negotiated for a friend and than men who negotiated for

either another person or themselves. These women appeared to fear a backlash for behaving contrary to gender stereotypes of women as accommodating and cooperative.

By contrast, the women who negotiated on behalf of a friend understood they would not be penalized for negotiating forcefully for someone else—behavior that complies with the stereotype of women as caretakers who focus on others' needs rather than their own. In this situation, they were not hesitant to negotiate assertively on behalf of their friends.

The results refute the theory that women are naturally less skilled or aggressive negotiators than men. Rather, the tendency of women to ask for less than men in certain settings may be a self-protective strategy based on a very real threat of being penalized for behaving contrary to deeply ingrained gender expectations.

How to fend off a backlash

The study results suggest several pieces of advice:

- **Connect to others.** To close the gender gap and avoid a backlash when negotiating on their own behalf, women should try to link aggressive demands to the needs of others, such as the organization's. (See "Dear Negotiation Coach" on page 4 for more detail.) Requests made on others' behalf are likely to be better received.
- **Stay vigilant.** Both men and women need to audit their judgments for the subconscious tendency to view assertive women negotiators as unlikable and overly demanding.
- **Use objective measures.** When making requests, women should reference relevant standards that would be difficult for the other side to ignore. In addition, organizations should attempt to control the insidious effects of gender stereotypes by instating salary benchmarks based on objective performance measures.

Resource: "Negotiating Gender Roles: Gender Differences in Assertive Negotiating Are Mediated by Women's Fear of Backlash and Attenuated When Negotiating on Behalf of Others," by Emily T. Amanatullah and Michael W. Morris. Journal of Personality and Social Psychology, Vol. 98, 2010.

By Katherine Shonk, Editor, *Negotiation* newsletter.
First published in the *Negotiation* newsletter, May 2010.

2. Dear Negotiation Coach: Negotiating the Gender Gap

Question:

I recently figured out that I am one of the lowest-paid people at my level in my organization—even though I am one of the top performers. I am also one of the few women at my level. I think I should negotiate for a compensation increase during my upcoming performance review. I negotiate all the time for my company and I love it, but I feel really uncomfortable about negotiating this raise for myself. Any advice?

Answer:

It's quite reasonable for women to feel hesitant about negotiating on their own behalf. Negotiating in an assertive, self-interested way contradicts the feminine stereotype of women as selfless caregivers, and the social costs of contradicting this stereotype can be significant.

For instance, Linda Babcock of Carnegie Mellon University, Lei Lai of Vanderbilt University, and I found in our research that evaluators perceived women who negotiated for higher compensation to be significantly more demanding and less “nice” than those who didn't ask for what they wanted. Consequently, the evaluators were less inclined to work with the women who negotiated. This social cost is substantially greater for women than for men. Yet when women are advocating on behalf of others, the social cost evaporates, research by Emily Amanatullah of the University of Texas at Austin and Michael Morris of Columbia University has shown.

With these findings in mind, I suggest that you adopt two goals in your upcoming negotiation: (1) to get your compensation request granted, and (2) to make a positive impression. The latter goal is important because if your negotiating behavior undermines your reputation, any economic gains could be overshadowed by the long-term career costs.

In addition, consider how you can make the most persuasive case for a raise. My research with Babcock suggests that even if you're angry, you should focus on

communicating how much you enjoy your job, love advocating for the company, and value working with your colleagues. Our research indicates that women can increase their salaries by using what we call relational accounts. Accounts are the explanations we use to persuade others to accept our behavior. In a compensation negotiation, a relational account conveys both the legitimacy of your request and your concern for organizational relationships.

Here are two types of relational accounts that worked in our research. In the first, the negotiator uses “we” language and explains that a supervisor suggested she make a compensation request, thus conveying that she is embedded in positive organizational relationships. In the second, the negotiator calls attention to her propensity to negotiate, identifying it as a key skill she brings to the company. When confronted with either of these strategies (as compared with a simple request for a raise), evaluators were more inclined to grant the compensation request and to work with the female negotiator in the future.

These scripts should help you brainstorm creative ways to justify your request in a manner that also signals your genuine concern for your company and your relationships with colleagues.

Here’s how this might work. A senior executive recently recounted to me what happened when she found out for the second time that a male subordinate was being paid more than she was. She approached her superiors as if she were pointing out a mistake that she was confident they would want to resolve. “I know that the company would not want a subordinate to be paid more than a supervisor,” she said. “I’m sure you agree that we should correct this.” She got her raise.



Hannah Riley Bowles
Associate Professor
Harvard Kennedy School

First published in the *Negotiation* newsletter, August 2011.

3. Women Negotiators and the Backlash Effect

FEARFUL OF A BACKLASH, WOMEN OFTEN AVOID NEGOTIATING in an assertive manner, and with good reason. Women who negotiate assertively risk being passed over for jobs and promotions because they are viewed as socially unskilled and unlikable, research has found. By contrast, when women negotiate assertively on others' behalf rather than for themselves, observers tend to react much more positively to them.

By framing a negotiation in terms of its benefits to others, research suggests, women may be able to avoid the “likable versus competent” conundrum—that is, the tendency to be viewed as either likable *or* competent, but not both. In a new article in the journal *Organizational Behavior and Human Decision Processes*, Emily T. Amanatullah of the University of Texas at Austin and Catherine H. Tinsley of Georgetown University looked at the “backlash effect” against women negotiators more closely.

When behavior clashes with norms

In one experiment, Amanatullah and Tinsley presented college students with a hypothetical salary negotiation between a job candidate and a hiring manager. Study participants were less inclined to interact socially with women who advocated for themselves than with women who advocated for others during the negotiation scenario. The participants did not similarly penalize male negotiators who behaved assertively.

In two other experiments, participants viewed women who negotiated assertively for themselves as embodying stereotypically negative masculine traits, namely dominance, arrogance, and entitlement. In addition, participants punished women who negotiated for others in an accommodating (rather than assertive) manner and viewed them as weak, a stereotypically negative feminine trait.

It seems that when women violate social norms of traditional female behavior, they open themselves up to criticism. Yet when women negotiate assertively for others, they avoid backlash, apparently because they are fulfilling the feminine stereotype of being helpful to others.

Searching for solutions

The results suggest that women may face long-term social costs for negotiating assertively on their own behalf—and, for that matter, for not negotiating assertively for those they represent. Meanwhile, people appear to be much more tolerant when men violate typical expectations of male behavior (for example, by being accommodating).

Women may be able to overcome the threat of a backlash by framing their job negotiations in terms of how any gains would benefit others in addition to themselves. Managers who resist this type of accommodation might advocate for broader remedies. For example, organizations could adopt compensation systems that use objective performance criteria or peer evaluations to determine raises and promotions, thus diminishing the weight given to negotiations with individual employees, Amanatullah and Tinsley suggest.

By Katherine Shonk, Editor, *Negotiation* newsletter.
First published in the *Negotiation* newsletter, July 2012.

4. The “Sandberg Effect”: Why Women Are Asking for More

IN EARLY 2008, FACEBOOK FOUNDER AND CEO MARK ZUCKERBERG began thinking about hiring Sheryl Sandberg, a vice president at Google and a former chief of staff for the U.S. Department of the Treasury, as the social-media company’s new chief operating officer. The two met several nights a week for almost two months to discuss Facebook’s mission and future.

Finally, Zuckerberg made an offer. Sandberg felt it was fair. What’s more, as she recounts in her recent bestseller, *Lean In: Women, Work, and the Will to Lead* (Knopf, 2013), she was “*dying* to accept the job.” But her husband urged her not to take the first offer on the table.

Sandberg balked: What if, by playing hardball, she antagonized Zuckerberg?

She was on the verge of accepting when words from her brother-in-law stopped her in her tracks: “Damn it, Sheryl! Why are you going to make less than any man would make to do the same job?”

Newly motivated, Sandberg told Zuckerberg that she couldn't accept his offer. She noted that he was hiring her to run his deal teams. "This is the only time you and I will ever be on opposite sides of the table," Sandberg said, then laid out what she wanted. The next day, Zuckerberg came back to her with a significantly better offer.

Stories like this one from Sandberg's book, which is aimed at motivating women to aspire to leadership positions, appear to be striking a chord among women professionals. In fact, evidence suggests that women who typically pass up opportunities to negotiate on their own behalf at work have found a new role model—and justification—for more assertive behavior.

Why women haven't asked

In a chapter called "Success and Likeability" in *Lean In*, Sandberg sums up the catch-22 that confronts women professionals by citing a study by Frank Flynn (Columbia Business School) and Cameron Anderson (University of California, Berkeley). In the study, participants read a description of an outgoing, well-connected, and successful venture capitalist. Some participants were told that the person's name was Howard; others were told it was Heidi.

When asked to judge Howard/Heidi based on the identical descriptions, the participants perceived them to be equally competent. Yet while Howard was judged to be pleasant to work with, Heidi was judged to be selfish and an unappealing colleague.

This and other research suggests that we tend to respond more favorably to successful men than to successful women. Why? When men focus on their careers, they fulfill familiar stereotypes of men as driven, decisive providers. But when women demonstrate drive and determination in the workplace, they violate gender stereotypes of women as sensitive, communal caregivers.

Internalizing this dilemma, women correctly intuit that they will be punished—in the form of being disliked by their coworkers—for negotiating on their own behalf. As discussed in past *Negotiation* articles, research bears out this expectation. In one study, Harvard Kennedy School professor Hannah Riley Bowles and her colleagues found that participants were less willing to work with

women who negotiated for higher compensation and judged them to be less nice than women who didn't ask for more.

No surprise, then, that women negotiate much less often than men for higher salaries, promotions, and plum assignments: They fear a very real backlash against traditionally unfeminine behavior.

Beyond the backlash

Anecdotal evidence suggests that Sandberg's quest to empower women to advocate for themselves may already be having an impact in the workplace. In an article for the website BuzzFeed, Ben Smith writes that numerous women had mentioned Sandberg's name in salary negotiations with him and other editors just weeks after the book's publication. After negotiating a new role with Smith, one senior editor stood up to leave, then stopped herself and said, "Sheryl Sandberg would be disappointed in me if I didn't ask you for a raise."

The book and its ensuing publicity blitz "have emboldened some women to speak up more directly about compensation," *New York Times* editor Jill Abramson told Smith. Negotiation researcher Bowles says that numerous women have told her they feel newly energized to negotiate for higher compensation and other career goals after reading *Lean In*.

"Think personally, act communally"

Women can increase their salaries and make other job advances by using what Bowles and her colleagues refer to as *relational accounts*—explanations for requests that both seem legitimate and display a concern for organizational relationships. For example, when requesting a raise, a woman might explain that her team leader advised her to try to improve her compensation because it is low for her position.

Along these lines, Sandberg advises women negotiators to "think personally, act communally" when negotiating on their own behalf, being careful to substitute "we" for "I": "We had a great year" rather than "I had a great year."

Women might even use the persistent gender gap in pay as a communal argument. Sandberg says she advises women to explain that they are negotiating for a higher salary because women in general are often paid less than men. In

this case, women position themselves as showing concern for all women, not just themselves.

Bowles takes Sandberg's argument a step further, pointing out that *Lean In* itself has become a powerful argument for a raise or other job-related goal. By citing Sandberg, women reference a known authority and potentially strengthen the legitimacy of their arguments.

Sandberg also advises women negotiators to “combine niceness with insistence,” a style that University of Michigan president Mary Sue Coleman calls “relentlessly pleasant.” They can do so by expressing concern and appreciation, drawing on common interests, and approaching the negotiation as a problem-solving task.

Toward a less biased workplace

Much of Sandberg's advice aligns with broader negotiation theory, which finds that a cooperative approach is the surest path to understanding the other party and discovering new sources of value.

But why must women, and not men, bend over backward to appear likable and communal? Isn't that unfair? It is, Sandberg admits, but “adhering to biased rules and expectations” is still the clearest path to advancement for most women for the time being.

Here, too, there are signs of change. In addition to motivating women to ask for more, *Lean In* also appears to be encouraging managers—men and women alike—to look for gender bias in their hiring and promotion practices. Bowles knows of one male executive whose high-tech company was having difficulty recruiting women despite an eagerness to do so. After absorbing Sandberg's message, the executive carefully reviewed his company's recruitment materials and found numerous references (such as to the video game *StarCraft*) that suggested the company was a “boys' club.” “He is changing that,” says Bowles.

By Katherine Shonk, Editor, *Negotiation* newsletter.
First published in the *Negotiation* newsletter, July 2013.

5. Dear Negotiation Coach: Pushing for Better Results

Question:

I often leave a negotiation feeling that I got less out of it than I could have, had I only pushed harder. Could this have anything to do with the fact that I am a woman?

Answer:

Many different factors affect our decisions in negotiation—but since you brought it up, let's focus on possible gender effects. It could be that you prefer not to push hard during negotiations because, consciously or not, you're trying to conform to the stereotypical expectation that women care more about others' outcomes than men do. Or it could be that you truly put your relationships with other parties first.

You might be able to get at the root of your behavior by thinking about whether you would have acted differently if you had negotiated anonymously—over the Internet, for example, where no one has to know your gender. Would you have pushed harder?

“Of course,” you might say. “It's natural to care less about someone you don't know and who doesn't know you.”

Now, imagine that you negotiated with a coworker through a one-way mirror: you can see the other party, but he or she can't see you. Would you still have pushed harder?

If your answer is no, then either you intrinsically care about your coworker's outcomes or you feel uncomfortable asking for what you want in competitive environments.

Research suggests that your gender could at least partially explain both of these tendencies. In a forthcoming special issue on gender in the *Negotiation Journal*, researchers Catherine Eckel, Angela C. M. de Oliveira, and Philip J. Grossman report that women do tend to be more generous with others than men, even in anonymous interactions; however, this gender difference is small. In the same issue, Muriel Niederle and Lise Vesterlund report that women tend to shy

away from competition, particularly with men, whereas men are more likely to embrace it. Socialization may contribute to this pattern. From an early age, girls are encouraged to be nice and empathetic, and boys are trained to be assertive.

What if you said that you would have pushed harder than usual in a negotiation with a coworker if your identity was anonymous? If so, you asked for more because you weren't worried about conforming to your coworker's expectations about your gender. Research suggests that this is the most likely cause of your behavior. Although women and men differ slightly in what they want from a negotiation, their beliefs about how they should act in a negotiation differ even more.

Both women and men are able to overcome stereotypical expectations through positive experiences that counteract such stereotypes, according to research by Kessely Hong of Harvard University. Try to find a low-risk environment, such as your home or office, where you can experiment with asking for more. Doing so may bolster your self-confidence for your next high-stakes negotiation. Once you are at the table, it sometimes helps to use a stereotypical style to make your case: Ask kindly but firmly.



Iris Bohnet

Professor of Public Policy

John F. Kennedy School of Government

Harvard University

First published in the *Negotiation* newsletter, August 2008.

6. Women Negotiators: Focus on Power and Status

“THE WOMEN ARE TAKING OVER,” Senator John McCain joked several times during October meetings of a bipartisan Senate group working on a deal to end the government shutdown, the *New York Times* reports. Republican female senators Susan Collins, Lisa Murkowski, and Kelly Ayotte convened the

13-member group, which was roughly evenly split across gender lines despite the fact that women make up only 20% of the Senate.

Women senators took a leading role in building the deal framework that ended the standoff and averted a U.S. debt default. By contrast, negotiation research has found that women are often hesitant about initiating negotiations and achieve less than men at the bargaining table, at least when they are negotiating on their own behalf.

In addition to the fact that the women senators were negotiating on behalf of their constituents, there was a key difference between these women who negotiated during the shutdown crisis and the college and graduate students who typically participate in negotiation research studies: their power and status. This difference prompts the question of whether power and status could enhance women's performance in negotiation. Two new studies published in the journal *Negotiation and Conflict Management Research* suggest the answer may be yes.

A powerful prime

Women may be less likely than men to advocate for themselves via negotiation, but they perform at least as well as men when they are negotiating on behalf of others, such as their subordinates, research has found. These differences in how men and women negotiate are often attributed to gender differences in socialization. Boys are generally raised to be assertive and self-focused, traits that serve grown men well when it comes time to forge a professional path. By contrast, girls are typically socialized to be communal and nurturing—traits that clash with the motivation to claim value for oneself in negotiation.

Given that a sense of power has been found to trigger personality traits such as dominance and assertiveness, researchers Alain P. C. I. Hong and Per J. van der Wijk of Tilburg University in the Netherlands conducted an experiment to determine whether encouraging women to feel powerful would lead them to be more competitive and achieve better outcomes for themselves in a subsequent negotiation.

The Dutch university students who participated in the study each engaged in a negotiation simulation with researcher Hong, who posed as a participant. Before negotiating, some participants were primed to feel powerful by recalling

and writing about an incident from their lives in which they had power over one or more people. By contrast, those in the control condition were simply asked to write about how they usually spend their evenings.

During the negotiations that followed, Hong, playing the role of home seller, asked each participant, playing a home buyer, to make a first price offer for his house. Hong then drove a hard bargain, challenging each offer the participants made and the rationales behind them. The negotiation concluded when the participants made their final offers.

The results showed that women who were primed to feel powerful made much more aggressive first offers and negotiated better outcomes for themselves than the women in the control condition did. The performance of the high-power women matched that of men in both conditions. Men reached similar outcomes whether or not they were primed to feel powerful.

The results suggest that women (but not men) receive a real psychological lift from feeling powerful that motivates them to negotiate more forcefully for themselves, at least in distributive negotiations where parties are haggling over a single issue, such as price. The findings imply that women in low-power positions may be able to improve their negotiation performance simply by reflecting on a time when they had more power or even, research by Harvard Business School professor Amy Cuddy suggests, striking powerful, expansive poses prior to negotiation.

Beyond the backlash

A sense of power may enable women to negotiate more assertively, but what happens next? Past negotiation research has found that women (but not men) who initiate negotiations over their compensation suffer a backlash: People are less willing to work with them than with women who don't ask for more money, Hannah Riley Bowles of the Harvard Kennedy School, Linda Babcock of Carnegie Mellon University, and Lei Lai of Tulane University found in one study. Women are often reluctant to advocate for themselves at work because they anticipate such a backlash.

Researchers have speculated that women trigger a backlash when they behave contrary to stereotypes of women as accommodating and communal. In

a new study, professors Emily T. Amanatullah of the University of Texas at Austin and Catherine H. Tinsley of Georgetown University examine an alternative explanation for the backlash effect—namely, the low status often ascribed to women—and find that it can be overcome.

Consider that traditionally, women have held lower-status positions in society relative to men, as reflected in job titles and earnings. Consequently, when people lack information about a woman's status, they tend to assume it is relatively low. When women of presumed low status behave as if their status is high, people are likely to react negatively and punish them, Amanatullah and Tinsley theorized.

In their first experiment, the researchers asked participants to imagine that they were a hotel manager dealing with an event planner named Chris. Chris asked to cancel some rooms and receive a refund soon before an event, a favor that would violate the hotel's policy. Participants were asked whether they would grant the request or not. Chris was presented as either a man or a woman, and as having low status ("newly hired junior officer") or high status ("executive vice president").

Chris was least likely to get the refund when she was a low-status female; she suffered a financial backlash for asking for the favor. By contrast, high-status female Chris was significantly more likely to receive the favor, as were men in both status conditions. (Male low-status Chris did not experience a backlash.)

In a similar, second experiment, Amanatullah and Tinsley found that participants viewed the request of a low-status woman—but not that of a low-status man—to be illegitimate, leading to a wave of negative reactions: In addition to having her financial request rejected, the woman was deemed undesirable as a potential colleague, friend, and leader. By contrast, participants

4 other ways to help women negotiators advance

1. Focus on skills. Women may be able to gain confidence and overcome insidious stereotypes by viewing negotiation skill as something that can be improved through practice—which it is—rather than as a stable personality trait.

2. Emphasize communal skills. When advocating for themselves, women can avoid a backlash by stressing that they will negotiate just as assertively for the organization, according to negotiation researchers Hannah Riley Bowles and Linda Babcock.

3. Open doors. Organizations should actively connect women negotiators with high-status colleagues to help them access career opportunities that previously were closed to them.

4. Increase objectivity. To lessen gender bias in their organizations, managers can institute salary benchmarks based on objective performance measures.

viewed high-status women's requests to be legitimate and did not penalize them on any of these dimensions.

Past research concluded that all women risk a backlash when advocating for themselves in negotiation. By contrast, the results of this study suggest that high-status women may be immune to this effect. Therefore, women may benefit from signaling high status when initiating and engaging in negotiations. Those who lack an impressive title may be able to communicate status by displaying awards, referring to their most impressive credentials, and associating with high-level colleagues, Amanatullah and Tinsley suggest.

Overall, the results of the studies described here imply that women negotiators can claim more value by reflecting on past experiences with power and communicating high status.

Resources:

"Women in Negotiation: Effects of Gender and Power on Negotiation Behavior," by Alain P. C. I. Hong and Per J. van der Wijk. Negotiation and Conflict Management Research, 2013.

"Ask and Ye Shall Receive? How Gender and Status Moderate Negotiation Success," by Emily T. Amanatullah and Catherine H. Tinsley. Negotiation and Conflict Management Research, 2013.

By Katherine Shonk, Editor, *Negotiation* newsletter.
First published in the *Negotiation* newsletter, January 2014.

7. Dear Negotiation Coach: A Closer Look at the Gender Gap

Question:

I recently became the chief talent officer in my firm. I've identified that few women are advancing from midlevel to senior leadership positions, and an internal audit showed that female managers tend to earn less than male managers even when in similar positions. The men don't seem to have trouble negotiating for what they want, and I think that's why they get ahead faster and make more money. How can I help our female employees become better negotiators?

Answer:

Before you conclude that the women are less skilled negotiators than the men, consider the following three questions, which could help you better understand what job negotiations are like for men and women in your organization.

1. Do women and men have the same information about what is negotiable? In the workplace, some information about negotiable issues may be available only through informal conversations with friends and mentors. Research on social networks in organizations by Herminia Ibarra of the international business school INSEAD and Daniel Brass of the University of Kentucky indicates that women tend to be less connected to the men in their organizations than their male colleagues are, particularly in male-dominated organizations. If women are less connected than men to the senior decision makers in your firm (who are apparently mostly men), then women may have fewer opportunities to learn about career opportunities and to get advice on what's negotiable.

2. Do men and women perceive the same risks and benefits from job negotiations? Research shows that the social risks of negotiating for higher pay are greater for women than for men, especially when the decision makers are male. I found in research with Linda Babcock of Carnegie Mellon University and Lei Lai of Tulane University that decision makers were significantly less willing to work with a female candidate who asked for higher compensation than with a woman who passed up the same opportunity to negotiate. How can women overcome this hurdle? Babcock and I found that a woman needs to both legitimize her negotiating behavior and communicate that she cares about her work relationships, for example, by explaining that her team leader suggested that she ask about her compensation.

3. Does your organization help employees negotiate their work-life dilemmas? For employees with caregiving responsibilities, agreements reached with employers must be ratified at home. Because women tend to assume a greater share of domestic responsibilities than men (even in dual-career households), their workplace negotiations tend to be more constrained than men's by their home life. Employers can collaborate with employees to find mutually beneficial solutions to work-life conflicts. Flexible work schedules, for example, bring

benefits both to the firm (greater employee satisfaction) and the household (availability at critical times).

In sum, while negotiation training can be invaluable, creating an environment in which all employees feel encouraged to negotiate career opportunities is also likely to enhance your firm's ability to retain and promote its talent.



Hannah Riley Bowles
Associate Professor
Harvard Kennedy School

First published in the *Negotiation* newsletter, December 2008.

8. Women Rising: The Unseen Barriers

MANY CEOs WHO MAKE GENDER DIVERSITY A PRIORITY—by setting aspirational goals for the proportion of women in leadership roles, insisting on diverse slates of candidates for senior positions, and developing mentoring and training programs—are frustrated. They and their companies spend time, money, and good intentions on efforts to build a more robust pipeline of upwardly mobile women, and then not much happens.

The problem with these leaders' approaches is that they don't address the often fragile process of coming to see oneself, and to be seen by others, as a leader. Becoming a leader involves much more than being put in a leadership role, acquiring new skills, and adapting one's style to the requirements of that role. It involves a fundamental identity shift. Organizations inadvertently undermine this process when they advise women to proactively seek leadership roles without also addressing policies and practices that communicate a mismatch between how women are seen and the qualities and experiences people tend to associate with leaders.

A significant body of research (see “Further Reading”) shows that for women, the subtle gender bias that persists in organizations and in society disrupts the learning cycle at the heart of becoming a leader. This research also points to some steps that companies can take in order to rectify the situation. It’s not enough to identify and instill the “right” skills and competencies as if in a social vacuum. The context must support a woman’s motivation to lead and also increase the likelihood that others will recognize and encourage her efforts—even when she doesn’t look or behave like the current generation of senior executives.

The solutions to the pipeline problem are very different from what companies currently employ. Traditional high-potential, mentoring, and leadership education programs are necessary but not sufficient. Our research, teaching, and consulting reveal three additional actions companies can take to improve the chances that women will gain a sense of themselves as leaders, be recognized as such, and ultimately succeed. (This article expands on our paper “Taking Gender into Account: Theory and Design for Women’s Leadership Development Programs,” *Academy of Management Learning & Education*, September 2011.)

Becoming a Leader

People become leaders by *internalizing a leadership identity and developing a sense of purpose*. Internalizing a sense of oneself as a leader is an iterative process. A person asserts leadership by taking purposeful action—such as convening a meeting to revive a dormant project. Others affirm or resist the action, thus encouraging or discouraging subsequent assertions. These interactions inform the person’s sense of self as a leader and communicate how others view his or her fitness for the role.

As a person’s leadership capabilities grow and opportunities to demonstrate them expand, high-profile, challenging assignments and other organizational endorsements become more likely. Such affirmation gives the person the fortitude to step outside a comfort zone and experiment with unfamiliar behaviors and new ways of exercising leadership. An absence of affirmation, however, diminishes self-confidence and discourages him or her from seeking developmental opportunities or experimenting. Leadership identity, which begins as a tentative, peripheral aspect of the self, eventually withers away, along with opportunities

to grow through new assignments and real achievements. Over time, an aspiring leader acquires a reputation as having—or not having—high potential.

The story of an investment banker we'll call Amanda is illustrative. Amanda's career stalled when she was in her thirties. Her problem, she was told, was that she lacked "presence" with clients (who were mostly older men) and was not sufficiently outspoken in meetings. Her career prospects looked bleak. But both her reputation and her confidence grew when she was assigned to work with two clients whose CFOs happened to be women. These women appreciated Amanda's smarts and the skillful way she handled their needs and concerns. Each in her own way started taking the initiative to raise Amanda's profile. One demanded that she be present at all key meetings, and the other refused to speak to anyone but Amanda when she called—actions that enhanced Amanda's credibility within her firm. "In our industry," Amanda explains, "having the key client relationship is everything." Her peers and supervisors began to see her not just as a competent project manager but as a trusted client adviser—an important prerequisite for promotion. These relationships, both internal and external, gave Amanda the confidence boost she needed to generate ideas and express them forthrightly, whether to colleagues or to clients. Her supervisors happily concluded that Amanda had finally shed her "meek and mild-mannered" former self and "stepped up" to leadership.

Effective leaders develop a sense of purpose by pursuing goals that align with their personal values and advance the collective good. This allows them to look beyond the status quo to what is possible and gives them a compelling reason to take action despite personal fears and insecurities. Such leaders are seen as authentic and trustworthy because they are willing to take risks in the service of shared goals. By connecting others to a larger purpose, they inspire commitment, boost resolve, and help colleagues find deeper meaning in their work.

Integrating leadership into one's core identity is particularly challenging for women, who must establish credibility in a culture that is deeply conflicted about whether, when, and how they should exercise authority. Practices that equate leadership with behaviors considered more common in men suggest that women are simply not cut out to be leaders. Furthermore, the human tendency

to gravitate to people like oneself leads powerful men to sponsor and advocate for other men when leadership opportunities arise. As Amanda's story illustrates, women's leadership potential sometimes shows in less conventional ways—being responsive to clients' needs, for example, rather than boldly asserting a point of view—and sometimes it takes powerful women to recognize that potential. But powerful women are scarce.

Despite a lack of discriminatory intent, subtle, “second-generation” forms of workplace gender bias can obstruct the leadership identity development of a company's entire population of women. (See the sidebar “What Is Second-Generation Gender Bias?”) The resulting underrepresentation of women in top positions reinforces entrenched beliefs, prompts and supports men's bids for leadership, and thus maintains the status quo.

The three actions we suggest to support women's access to leadership positions are (1) educate women and men about second-generation gender bias, (2) create safe “identity workspaces” to support transitions to bigger roles, and (3) anchor women's development efforts in a sense of leadership purpose rather than in how women are perceived. These actions will give women insight into themselves and their organizations, enabling them to more effectively chart a course to leadership.

Educate Everyone About Second-Generation Gender Bias

For women. More than 25 years ago the social psychologist Faye Crosby stumbled on a surprising phenomenon: Most women are unaware of having personally been victims of gender discrimination and deny it *even when it is objectively true and they see that women in general experience it*.

Many women have worked hard to take gender out of the equation—to simply be recognized for their skills and talents. Moreover, the existence of gender bias in organizational policies and practices may suggest that they have no power to determine their own success. When asked what might be holding women back in their organizations, they say:

“It's nothing overt. I just feel less of a connection, either positive or negative, with the guys I work with. So sometimes I seem to have difficulty getting traction for my ideas.”

“I look around and see that my male colleagues have P&L responsibility and most of us are in staff roles. I was advised to make the move to a staff role after the birth of my second child. It would be easier, I was told. But now I recognize that there is no path back to the line.”

“My firm has the very best intentions when it comes to women. But it seems every time a leadership role opens up, women are not on the slate. The claim is made that they just can’t find women with the right skill set and experience.”

These statements belie the notion that gender bias is absent from these women’s work lives. Second-generation bias does not require an intent to exclude; nor does it necessarily produce direct, immediate harm to any individual. Rather, it creates a context—akin to “something in the water”—in which women fail to thrive or reach their full potential. Feeling less connected to one’s male colleagues, being advised to take a staff role to accommodate family, finding oneself excluded from consideration for key positions—all these situations reflect work structures and practices that put women at a disadvantage.

Without an understanding of second-generation bias, people are left with stereotypes to explain why women as a group have failed to achieve parity with men: If they can’t reach the top, it is because they “don’t ask,” are “too nice,” or simply “opt out.” These messages tell women who have managed to succeed that they are exceptions and women who have experienced setbacks that it is their own fault for failing to be sufficiently aggressive or committed to the job.

We find that when women recognize the subtle and pervasive effects of second-generation bias, they feel empowered, not victimized, because they can take action to counter those effects. They can put themselves forward for leadership roles when they are qualified but have been overlooked. They can seek out sponsors and others to support and develop them in those roles. They can negotiate for work arrangements that fit both their lives and their organizations’ performance requirements. Such understanding makes it easier for women to “lean in.”

For women and men. Second-generation bias is embedded in stereotypes and organizational practices that can be hard to detect, but when people are made aware of it, they see possibilities for change. In our work with leadership

development programs, we focus on a “small wins” approach to change. In one manufacturing company, a task force learned that leaders tended to hire and promote people, mainly men, whose backgrounds and careers resembled their own. They had good reasons for this behavior: Experienced engineers were hard to find, and time constraints pressured leaders to fill roles quickly. But after recognizing some of the hidden costs of this practice—high turnover, difficulty attracting women to the company, and a lack of diversity to match that of customers—the company began to experiment with small wins. For example, some executives made a commitment to review the job criteria for leadership roles. One male leader said, “We write the job descriptions—the list of capabilities—for our ideal candidates. We know that the men will nominate themselves even if they don’t meet all the requirements; the women would hold back. Now we look for the capabilities that are needed in the role, not some unrealistic ideal. We have hired more women in these roles, and our quality has not suffered in the least.”

In another case, participants in a leadership development program noticed that men seemed to be given more strategic roles, whereas women were assigned more operational ones, signaling that they had lower potential. The participants proposed that the company provide clear criteria for developmental assignments, be transparent about how high potential was evaluated, and give direction as to what experiences best increased a person’s potential. Those actions put more women in leadership roles.

Create Safe “Identity Workspaces”

In the upper tiers of organizations, women become increasingly scarce, which heightens the visibility and scrutiny of those near the top, who may become risk-averse and overly focused on details and lose their sense of purpose. (In general, people are less apt to try out unfamiliar behaviors or roles if they feel threatened.) Thus a safe space for learning, experimentation, and community is critical in leadership development programs for women.

Consider performance feedback, which is necessary for growth and advancement but full of trip wires for women. In many organizations 360-degree feedback is a basic tool for deepening self-knowledge and increasing awareness of

one's impact on others—skills that are part and parcel of leadership development. But gender stereotypes may color evaluators' perceptions, subjecting women to double binds and double standards. Research has amply demonstrated that accomplished, high-potential women who are evaluated as competent managers often fail the likability test, whereas competence and likability tend to go hand in hand for similarly accomplished men. We see this phenomenon in our own research and practice. Supervisors routinely give high-performing women some version of the message "You need to trim your sharp elbows." Likewise, we find that participants in women's leadership development programs often receive high ratings on task-related dimensions, such as "exceeds goals," "acts decisively in the face of uncertainty," and "is not afraid to make decisions that may be unpopular," but low ratings on relational ones, such as "takes others' viewpoints into account" and "uses feedback to learn from her mistakes." We also frequently encounter women whose performance feedback seems contradictory: Some are told they need to "be tougher and hold people accountable" but also to "not set expectations so high," to "say no more often" but also to "be more visible," to "be more decisive" but also to "be more collaborative."

Creating a safe setting—a coaching relationship, a women's leadership program, a support group of peers—in which women can interpret these messages is critical to their leadership identity development. Companies should encourage them to build communities in which similarly positioned women can discuss their feedback, compare notes, and emotionally support one another's learning. Identifying common experiences increases women's willingness to talk openly, take risks, and be vulnerable without fearing that others will misunderstand or judge them. These connections are especially important when women are discussing sensitive topics such as gender bias or reflecting on their personal leadership challenges, which can easily threaten identity and prompt them to resist any critical feedback they may receive. When they are grounded in candid assessments of the cultural, organizational, and individual factors shaping them, women can construct coherent narratives about who they are and who they want to become.

The Importance of Leadership Purpose

In a recent interview with members of Hillary Clinton's press corps, a veteran reporter noted, "The story is never what she says, as much as we want it to be. The story is always how she looked when she said it." Clinton says she doesn't fight it anymore; she just focuses on getting the job done.

How women are perceived—how they dress, how they talk, their "executive presence," their capacity to "fill a room," and their leadership style—has been the focus of many efforts to get more of them to the top. Voice coaches, image consultants, public-speaking instructors, and branding experts find the demand for their services growing. The premise is that women have not been socialized to compete successfully in the world of men, so they must be taught the skills and styles their male counterparts acquire as a matter of course.

To manage the competence-likability trade-off—the seeming choice between being respected and being liked—women are taught to downplay femininity, or to soften a hard-charging style, or to try to strike a perfect balance between the two. But the time and energy spent on managing these perceptions can ultimately be self-defeating. Overinvestment in one's image diminishes the emotional and motivational resources available for larger purposes. People who focus on how others perceive them are less clear about their goals, less open to learning from failure, and less capable of self-regulation.

Anchoring in purpose enables women to redirect their attention toward shared goals and to consider who they need to be and what they need to learn in order to achieve those goals. Instead of defining themselves in relation to gender stereotypes—whether rejecting stereotypically masculine approaches because they feel inauthentic or rejecting stereotypically feminine ones for fear that they convey incompetence—female leaders can focus on behaving in ways that advance the purposes for which they stand.

Focusing on purpose can also lead women to take up activities that are critical to their success, such as networking. Connections rarely come to them as a matter of course, so they have to be proactive in developing ties; but we also find that many women avoid networking because they see it as inauthentic—as developing relationships that are merely transactional and feel too instrumental—

or because it brings to mind activities (the proverbial golf game, for example) in which they have no interest or for which they have no time, given their responsibilities beyond work. Yet when they see it as a means to a larger purpose, such as developing new business to advance their vision for the company, they are more comfortable engaging in it.

Learning how to be an effective leader is like learning any complex skill: It rarely comes naturally and usually takes a lot of practice. Successful transitions into senior management roles involve shedding previously effective professional identities and developing new, more fitting ones. Yet people often feel ambivalent about leaving the comfort of roles in which they have excelled, because doing so means moving toward an uncertain outcome.

Second-generation gender bias can make these transitions more challenging for women, and focusing exclusively on acquiring new skills isn't sufficient; the learning must be accompanied by a growing sense of identity as a leader. That's why greater understanding of second-generation bias, safe spaces for leadership identity development, and encouraging women to anchor in their leadership purpose will get better results than the paths most organizations currently pursue.

By Herminia Ibarra, Robin Ely, and Deborah Kalb.

First published in the *Harvard Business Review*, September 2013.



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THOMAS KILMAN CONFLICT MODE INSTRUMENT

by

**Kenneth L. Thomas
and
Ralph H. Kilman**

INSTRUCTIONS

Consider situations in which you find your wishes differing from those of another person. How do you usually respond to such situations?

On the following pages are several pairs of statements describing possible behavioral responses. For each pair, please circle the “A” or “B” statement which is most characteristic of your own behavior.

In many cases, neither the “A” nor the “B” statement may be very typical of your behavior, but please select the response which you would be more likely to use.

1. A There are times when I let others take responsibility for solving the problem.
B Rather than negotiate the things on which we disagree, I try to stress the things upon which we both agree.
2. A I try to find a compromise situation.
B I attempt to deal with all of his and my concerns.
3. A I am usually firm in pursuing my goals.
B I might try to soothe the other's feelings and preserve our relationship.
4. A I try to find a compromise solution.
B I sometimes sacrifice my own wishes for the wishes of the other person.
5. A I consistently seek the other's help in working out a solution.
B I try to do what is necessary to avoid useless tensions.
6. A I try to avoid creating unpleasantness for myself.
B I try to win my position.
7. A I try to postpone the issue until I have had some time to think it over.
B I give up some points in exchange for others.
8. A I am usually firm in pursuing my goals.
B I attempt to get all concerns and issues immediately out in the open.
9. A I feel that differences are not always worth worrying about.
B I make some effort to get my way.
10. A I am firm in pursuing my goals.
B I try to find a compromise solution.
11. A I attempt to get all concerns and issues immediately out in the open.
B I might try to soothe the other's feelings and preserve our relationship.
12. A I sometimes avoid taking positions which would create controversy.
B I will let him have some of his positions if he lets me have some of mine.

13. A I propose a middle ground.
B I press to get my points made.
14. A I tell him my ideas and ask him for his.
B I try to show him the logic and benefits of my position.
15. A I might try to soothe the other's feelings and preserve our relationship.
B I try to do what is necessary to avoid tensions.
16. A I try not to hurt the other's feelings.
B I try to convince the other person of the merits of my position.
17. A I am usually firm in pursuing my goals.
B I will let him have some of his positions if he lets me have some of mine.
18. A If it makes the other person happy, I might let him maintain his views.
B I will let him have some of his positions if he lets me have some of mine.
19. A I attempt to get all concerns and issues immediately out in the open.
B I try to postpone the issue until I have had some time to think it over.
20. A I attempt to immediately work through our differences.
B I try to find a fair combination of gains and losses for
21. A In approaching negotiations, I try to be considerate of the other person's wishes.
B I always lean toward a direct discussion of the problem.
22. A I try to find a position that is intermediate between his and mine.
B I assert my wishes.
23. A I am very often concerned with satisfying all our wishes.
B There are times when I let others take responsibility for solving the problem.

24. A If the other's position seems very important to him, I would try to meet his wishes.
B I try to get him to settle for a compromise.
25. A I try to show him the logic and benefits of my position.
B In approaching negotiations, I try to be considerate of the other person's wishes.
26. A I propose a middle ground.
B I am nearly always concerned with satisfying all our wishes.
27. A I sometimes avoid taking positions that would create controversy.
B If it makes the other person happy, I might let him maintain his views.
28. A I am usually firm in pursuing my goals.
B I usually seek the other's help in working out a solution.
29. A I propose a middle ground.
B I feel that differences are not always worth worrying about.
30. A I try not to hurt the other's feelings.
B I always share the problem with the other person so that we can work it out.

SCORING

Circle the letters below which correspond to the letter your circled on each item of the questionnaire and then total the number of items circled in each column.

	Competing (forcing)	Collaborating (problem solving)	Compromising (sharing)	Avoiding (withdrawal)	Accommodating (soothing)
1.	-	-	-	A	B
2.	-	B	A	-	-
3.	A	-	-	-	B
4.	-	-	A	-	B
5.	-	A	-	B	-
6.	B	-	-	A	-
7.	-	-	B	A	-
8.	A	B	-	-	-
9.	B	-	-	A	-
10.	A	-	B	-	-
11.	-	A	-	-	B
12.	-	-	B	A	-
13.	B	-	A	-	-
14.	B	A	-	-	-
15.	-	-	-	B	A
16.	B	-	-	-	A
17.	A	-	-	B	-
18.	-	-	B	-	A
19.	-	A	-	B	-
20.	-	A	B	-	-
21.	-	B	-	-	A
22.	B	-	A	B	-
23.	-	A	-	B	-
24.	-	-	B	-	A
25.	A	-	-	-	B
26.	-	B	A	-	-
27.	-	-	-	A	B
28.	A	B	-	-	-
29.	-	-	A	B	-
30.	-	B	-	-	A

 Competing Collaborating Compromising Avoiding Accommodating

GRAPHING YOUR PROFILE SCORES

Your profile of scores indicates the repertoire of conflict handling skills which you, as an individual, use in the kinds of conflict situations you face. Your score profile can be graphed on the next page entitled, “Your Scores on the Thomas-Kilman Conflict Mode Instrument.”

The five modes are represented by the five columns labeled “competing,” “collaborating,” and so on. In the column under each model label is the range of possible scores on that mode - - - from 0 (for every low use) to 12 (for very high use). Circle your own scores on each of the five modes.

Each possible score is graphed in relation to the scores of managers who have already taken the Thomas-Kilman Conflict Mode Instrument. The horizontal lines represent percentiles – the percentage of people who have scored at or below a given number. If you had scored some number above the “80%” line on competing, for example, that would mean that you had scored higher than 80% of the people who have taken the Thomas-Kilman Conflict Mode Instrument – that you were in the top 20% in competition.

The double lines (at the 25th and 75th percentiles) separate the middle 50% of the scores on each mode from the top 25% and the bottom 25%. In general, if your score falls somewhere within the middle 50% on a given mode, you are close to the average in your use of that mode. If your score falls outside that range, then your use of that mode is somewhat higher or lower than most of the people who have taken the instrument. Remember that extreme scores are not necessarily bad, however, since your situation may require high or low use of a given conflict-handling mode.

YOUR SCORES ON THE THOMAS-KILMANN CONFLICT MODE INSTRUMENT

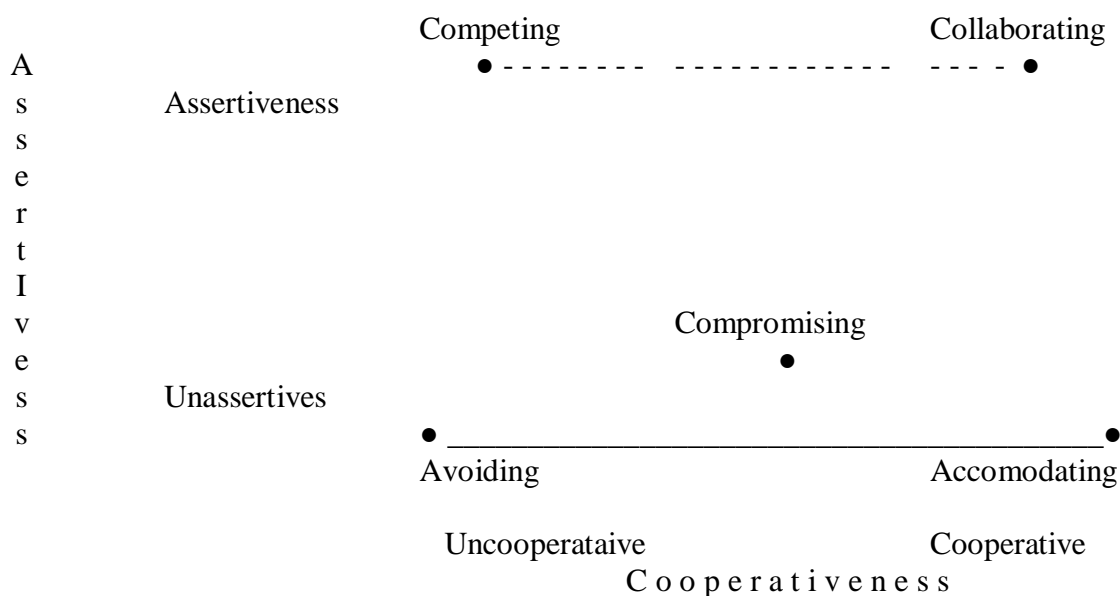
		<u>Competing</u>	<u>Collaborating</u>	<u>Compromising</u>	<u>Avoiding</u>	<u>Accommodating</u>	
	100% -	-----	-----	-----	-----	-----	- 100%
		12		12	12	12	
					11	11	
		11	12	11	10	10	
High	90% -	-----	-----	-----	-----	-----	- 90%
25%		9	10		8	7	
	80%	-----	-----	-----	-----	-----	- 80%
=====	=====	=====	= 9 =	=====	=====	= 6 =	=====
	70% -	-- 7 --	-----	-----	-----	-----	- 70%
	60% -	-----	-----	-----	-----	-----	- 60%
		6					
			8			5	
				7	6		
Middle	50% -	-----	-----	-----	-----	-----	- 50%
50%		5	7				
	40%	-----	-----	-----	-----	-----	- 40%
				6		4	
		4			5		
	30%	-----	-----	-----	-----	-----	- 30%
			6	5			
=====	=====	=====	=====	=====	=====	=====	=====
		3			4	3	
	20% -	-----	-----	-----	-----	-----	- 20%
			5	4			
		2			3		
Low	10% -	-----	-----	-----	-----	-----	- 10%
25%			4				
			3	3			
		1	2	2	2	2	
			1	1	1	1	
		0	0	0	0	0	
	0%	-----	-----	-----	-----	-----	- 0%

Scores are graphed in relation to the scores of the practicing managers at middle and upper levels in business and government organizations.

INTERPRETING YOUR SCORES ON THE THOMAS-KILMANN CONFLICT MODE INSTRUMENT

The Five Conflict Handling Modes

The Thomas-Kilmann Conflict Mode Instrument is designed to assess an individual's behavior in conflict situations. "Conflict Situations" are the situations in which the concerns of two people appear to be incompatible. In such situation, we can describe a person's behavior along two basic dimensions: (1) assertiveness, the extent to which the individual attempts to satisfy his own concerns, and (2) cooperativeness, the extent to which the individual attempts to satisfy the other person's concerns. These two basic dimensions of behavior can be used to define five specific methods of dealing with conflicts. These five "conflict-handling modes" are shown below:



Competing is assertiveness and uncooperative—an individual pursues his own concerns at the other person's expense. This is power-oriented mode, in which one uses whatever power seems appropriate to win one's own position—“standing up for your rights, defending a position when you believe is correct, or simply trying to win.

Accommodating is a unassertive and cooperative—the opposite of competing. When accommodating, an individual neglects his own concerns to satisfy the concerns of the other person, there is an element of self-sacrifice in this obeying another person's order when one would prefer not to, or yielding to another's point of view.

Avoiding is unassertive and uncooperative—the individual does not immediately pursue his own concerns or those of the other person. He does not address the conflict. Avoiding might take the form of diplomatically sidestepping an issue, postponing an issue until a better time or simply withdrawing from a threatening situation.

Collaborating is both assertive and cooperative—the opposite of avoiding. Collaborating involves an attempt to work with the other person to find some solution which fully satisfies the concerns of both persons. It means digging into an issue to identify the underlying concerns of the two individuals and to find an alternative which meets both sets of concerns. Collaborating between two persons might take the form of exploring a disagreement to learn from each other's insights, concluding to resolve some condition which would otherwise have them competing for resources, or confronting and trying to find a creative solution to an interpersonal problem.

Compromising is intermediate in both assertiveness and cooperativeness. The objective is to find some expedient, mutually acceptable solution which partially satisfies both parties. It falls on a middle ground between competing and accommodating. Compromising gives up more than competing but less than accommodating. Likewise, it addresses an issue more directly than avoiding, but doesn't explore it in as much depth as collaborating. Compromising might mean splitting the difference, exchanging concessions or seeking a quick middle-ground position.

Interpreting Your Scores

Usually, after getting back the results of any test, people first want to know: "What are the right answers?" In the case of conflict-handling behavior, there are no universal right answers. All five modes are useful in some situations: each represents a set of useful social skills. Our conventional wisdom recognizes, for example, that often "two heads are better than one" (Collaborating). But it also says, "Kill your enemies with kindness" (Accommodating), "Split the difference" (Compromising) "Leave well enough alone" (Avoiding), "Might makes right" (Competing). The effectiveness of a given conflict-handling mode depends upon the requirements of the specific conflict situation and the skill with which the mode is used.

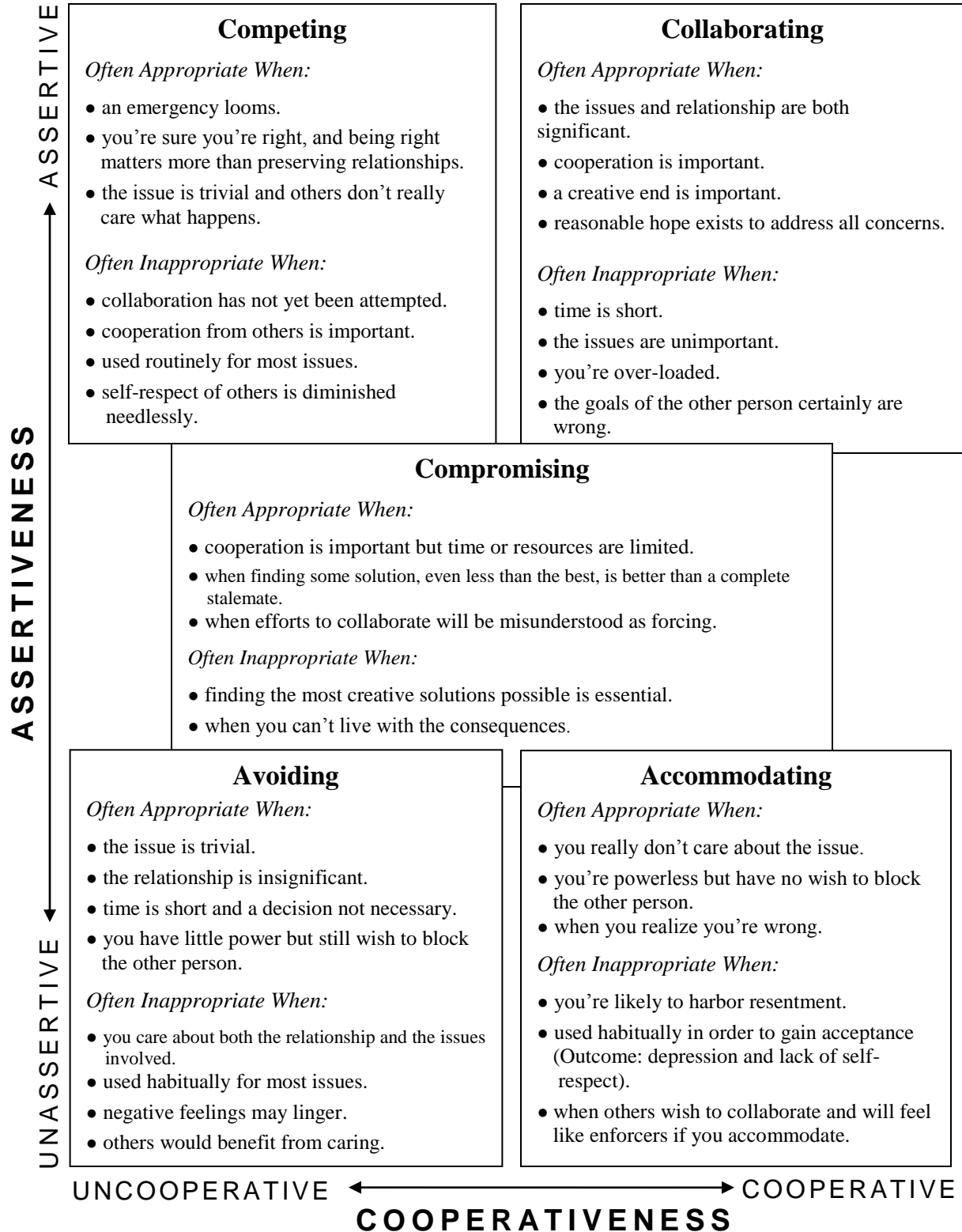
Each of us is capable of using all five conflict-handling modes: none of us can be characterized as having a single rigid style of dealing with conflict. However, any given individual uses some modes better than others and therefore, tends to rely upon those modes more heavily than others, whether because of temperament or practice.

The conflict behaviors which an individual uses are therefore a result of both his personal predispositions and the requirements of the situation in which he finds himself. The Thomas-Kilmann Conflict Mode Instrument is designed to assess this mix of conflict-handling modes.

To help you judge how appropriate your utilization of the five modes is for your situation. We have listed a number of uses for each mode—based upon lists generated by company presidents. Your score, high or low, indicates its usefulness in your situation. However, there is the possibility that your social skills lead you to rely upon some conflict behaviors more or less than necessary. To help you determine this, we have also listed some diagnostic questions concerning warning signals for the overuse or underuse of each mode.

NEGOTIATION STYLES

When To Use Which Style?



*This two-dimensional model of conflict-handling behavior is adapted from "Conflict and Conflict Management" by Kenneth Thomas in *The Handbook of Industrial and Organizational Psychology*, edited by Marvin Dunnette (Chicago: Rand McNally, 1976).

Scientific Diversity Interventions

Corinne A. Moss-Racusin,^{1*} Jojanneke van der Toorn,² John F. Dovidio,³ Victoria L. Brescoll,³ Mark J. Graham,³ Jo Handelsman³

Fair treatment of other scientists is an essential aspect of scientific integrity, warranting diversity interventions.

Although the representation of women and racial or ethnic minorities within the scientific community has increased in recent decades, the overall pace of diversification remains relatively slow (1). A number of factors may be involved (2), but one possible explanation for this limited progress is that gender and racial or ethnic biases persist throughout academia (1, 3).

In response, we propose a scientific approach to the design, assessment, and broad implementation of diversity interventions. We review evidence of positive and negative outcomes of existing interventions relevant to academic scientists. We then offer an evidence-based framework identifying elements of successful interventions (see the table). Finally, we discuss research needed to define success more rigorously and policy changes to encourage widespread adoption of successful programs.

Evidence suggests that academic scientists express “implicit” biases (4), which reflect widespread cultural stereotypes emphasizing white men’s scientific competence (1, 3). For example, both male and female science faculty members presented with the identical application for a laboratory position provided significantly higher evaluations and starting salaries when the application was attributed to a male versus female student (1). Black principal investigators were less likely to receive U.S. National Institutes of Health research funding than white colleagues (3).

In contrast to conscious and deliberate “explicit” biases, implicit biases are automatically activated and frequently operate outside of conscious awareness (4). Although likely unintentional, implicit biases undermine skilled female and minority scientists, prevent full access to talent, and distort the meritocratic nature of academic science (1, 3).

Interventions, Impacts, and Backlash

To address these issues, the science community should adopt diversity interventions that reduce both implicit and explicit biases and require empirical evidence that such inter-

ventions are effective. Once identified, these interventions should be incorporated into existing training offered to scientists, such as courses in responsible conduct of research (RCR). These courses are already required for researchers who receive funding from U.S. federal granting agencies. Although U.S. guidelines for RCR course content contain critical topics, they do not include diversity issues generally or bias specifically (5). Because fair treatment of other scientists is an essential aspect of scientific integrity, RCR courses provide untapped opportunities to engage scientists in reflection on the adverse effects of bias.

Campuses should not simply transfer elements of staff diversity training programs into RCR courses, because most existing interventions are not evidence-based (6–9). Similarly, interventions shown to improve intergroup relations (e.g., cooperative intergroup contact) with other target groups (6–8) should not be adopted without tailoring to address issues specific to enhancing diversity in science. Many diversity programs rely primarily on lecturing as the method of instruction (6), overlooking the vast literature demonstrating that active learning techniques (i.e., those that dynamically engage partici-

pants in exercises, activities, and discussions) produce superior learning outcomes (10) and increase the effectiveness of diversity interventions (8). Interventions often induce ironic negative effects (such as reactance or backlash) by implying that participants are at fault for current diversity challenges (9, 11). Although some interventions have been in place for decades, few have undergone evaluation to determine whether they produce measurable effects (6, 9). A cohesive framework of the design elements and outcomes of successful interventions is needed to ensure that programs are scientifically rigorous and achieve desired objectives.

There are no randomized controlled trials (RCTs) evaluating the impact of diversity interventions on the behavior of academic scientists (6, 7). A recent related RCT that tested established social psychology principles for bias reduction (e.g., stereotype replacement and counter-stereotypic imaging) generated promising results (e.g., reducing implicit bias) but used undergraduate psychology participants and measured self-reported intentions rather than actual behavior change (7). Although these results highlight the potential of diversity interventions to reduce bias and enhance diversity,

COMPONENTS OF EFFECTIVE SCIENTIFIC DIVERSITY INTERVENTIONS

Design Elements	Examples of Approaches
Grounded in current theory and empirical evidence (6–8)	Intervention design is guided by current evidence; Hypothesized mechanisms of change are explicitly identified (6–8)
Use active learning techniques so that participants engage with course content (8–10)	Participants engage with content through writing and speaking; Strategies such as problem-solving, group discussion, and quizzes are employed (10)
Avoid assigning blame or responsibility to participants for current diversity issues (9–11)	Facilitators employ language indicating that we all share responsibility for diversity; Presentation and analysis of the evidence that men and women express similar implicit bias toward women [e.g., (1, 18)]
Include a plan for ongoing rigorous evaluation of the intervention’s efficacy with different groups (6–8)	Interventions involve collecting longitudinal self-reported data on attitudes and intentions to change behavior; If these generate promising results, RCTs with behavioral measures will be conducted (6–8)
Measurable Outcomes	Examples of measurements
Increase participants’ awareness of research on diversity issues (i.e., bias literacy) (15)	Pre/post surveys of content knowledge, short writing assignments, group problem-solving of case studies (10, 15)
Decrease participants’ explicit and implicit biases (4)	Test with standard methods (i.e., validated explicit attitude scales, implicit reaction-time measures) (4, 7, 13)
Increase participants’ propensity to take action on diversity issues (18)	Self-reports of participants’ own behaviors, as well as behavioral observations from departmental colleagues, students, and trained raters (6, 8, 14, 15, 18)

¹Skidmore College, Saratoga Springs, NY 12866, USA.

²Leiden University, 233 AK Leiden, Netherlands.

³Yale University, New Haven, CT 06520, USA. *Corresponding author. E-mail: cmossrac@skidmore.edu

RCTs that have behavioral measures and academic scientist participants are required to validate interventions.

However, self-report and correlational studies can provide the evidence needed to warrant the more compelling (as well as costly and technically challenging) RCTs that must follow. Indeed, there is promising evidence that several interventions raise participants' awareness of diversity issues and reduce explicit and implicit biases (4, 6–8, 12–17), which suggests that large-scale RCTs of these programs are warranted. For example, the Workshop Activity for Gender Equity Simulation program enables participants to experience cumulative effects of subtle disadvantages and increases their awareness of gender-equity issues within academia (12).

An intervention involving a semester-long course on diversity lowered college students' scores on a computerized test of implicit racial bias more than an unrelated control course (13). Another program generated improvements in participants' diversity-related attitudes (e.g., increased awareness of advantages experienced by certain social groups) and actual behaviors (e.g., being inclusive; engaging in empathic listening; and actively addressing difficult, emotionally charged issues). Many of these changes persisted 4 months after the intervention and were also observed by participants' colleagues (14).

A recent study demonstrated that faculty and administrators from science departments who attended a theoretically grounded Bias Literacy Workshop reported significant increases in "bias literacy" (critical knowledge of bias and diversity issues) and demonstrated improved diversity-promoting behaviors (such as engaging in fair hiring practices) after the workshop (15). Although not RCTs, these findings suggest that certain diversity interventions can positively influence the attitudes and behavior of academics.

Other kinds of diversity interventions may paradoxically worsen bias and fail to improve diversity. Programs appear to be particularly counterproductive when they place pressure or blame on attendees, rather than presenting diversity as a shared community challenge and opportunity (9, 11). A common approach urges participants to recognize their own personal culpability in perpetuating discrimination and to take corrective action by complying with societal egalitarian norms (9). This approach leads to backlash when its central message is perceived as accusatory, which diminishes participants' internal motivations to be nonprejudiced and induces higher levels of bias (11). Unintended outcomes highlight the importance of testing interventions before

widespread implementation and underscore the need for an evidence-based framework of intervention elements and outcomes.

Framework for Design and Outcomes

We offer such a framework, based on available evidence on prejudice reduction strategies (4, 6–8, 12–17) and the vast literature establishing effective teaching practices (8, 10, 16). Specifically, interventions should incorporate four design elements and target at least three outcomes (see the table). An informal survey of current diversity interventions at research universities revealed that few incorporate all four, and many incorporate none of these elements.

As mixed results for existing interventions and occasional findings of backlash suggest, the first two outcomes (increased awareness and reduced bias) are necessary but not sufficient. Interventions must also enhance participants' action readiness and leave them motivated and equipped with tools to engage with diversity issues rather than paralyzed into avoiding them (18). Preliminary evaluation results of one program [which meets design elements (i) to (iv) and has been implemented with more than 700 science faculty members (16)] suggest that interventions can generate positive changes in action readiness and highlight the potential importance of this variable (17). Because readiness is strongly linked to behavior (18), these results may have encouraging implications for diversity-related outcomes.

On the basis of promising initial evidence that diversity interventions can be effective for academic audiences, we call for further research providing a scientific basis for diversity interventions. Interventions that meet the design elements in the table should now be rigorously assessed by RCTs comparing the efficacy of different interventions, elucidating the mechanisms underpinning effective interventions, and driving implementation of the most effective ones. Research aimed at identifying why successful diversity interventions work will be particularly important for designing new programs tailored to specific audiences, outcomes, and institutional contexts (6, 8). Research is also necessary to reevaluate intervention efficacy as biases change. For example, although explicit bias has decreased over time, implicit bias remains prevalent (4, 13). Thus, interventions must also change to address evolving expressions of bias.

The U.S. federal funding agencies should add diversity issues (including implicit biases) to their mandated RCR course content guidelines (5) and make empirically val-

idated diversity interventions available for widespread use. Worldwide national funding agencies and international bodies (e.g., the European Research Council) should consider similar policies. Active learning methods should be included, which may require redesign or reconsideration of currently accepted online trainings.

Without a scientific approach to diversity interventions, we are likely perpetuating the existing system, which fails to uphold meritocratic values by allowing persistent biases to influence evaluation, advancement, and mentoring of scientists. We may also inadvertently continue to fund ineffective interventions that—at best—superficially address diversity goals without producing measurable results, or—at worst—intensify biases. Applying our framework's straightforward criteria (drawn from theory and successful interventions) would bring diversity interventions in line with accepted scientific standards. A scientific approach to interventions aimed at reducing biases will increase meritocracy, diversity, and excellence throughout academic science.

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